

Substitute for Form 1449 A & B/PTO

INFORMATION DISCLOSURE

AUG 05 2005

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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of

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Complete if Known

Application Number	10/753,529
Confirmation Number	2785
Filing Date	January 9, 2004
First Named Inventor	Takayuki ARAKI
Art Unit	1752
Examiner Name	Yvette C. Thornton A. Waiker
Attorney Docket Number	Q79073

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			
		US			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ² (if known)			
ACW		EP	1 413 927	A1	04-28-2004	SEMICONDUCTOR LEADING EDGE TECHNOLOGIES, INC.	
ACW		EP	1 398 339	A1	03-17-2004	JSR CORPORATION	
ACW		EP	1 331 216	A1	07-30-2003	DAICEL CHEMICAL INDUSTRIES, LTD.	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature	<i>Yvette C. Thornton</i>	Date Considered	<i>August 16, 2005</i>
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.

Substitute for Form 1449 A & B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	CIP of PCT/JP02/07112
				Confirmation Number	Not Yet Assigned
				Filing Date	January 09, 2004
				First Named Inventor	Takayuki ARAKI
				Art Unit	Not Yet Assigned 1752
				Examiner Name	Not Yet Assigned A. Wicke
				Attorney Docket Number	Q79073
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
ADW		US 2001/38969	A1	11/08/2001	HATAKEYAMA
		US			
		US			
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
ADW		WO	02/36533	A1	05/10/2002	Daicel Chemical Industries, Ltd.	
ADW		JP	2001-296662	A	10/26/2001	Asahi Glass Co., Ltd.	
ADW		EP	157262	A1	10/09/1985	Nippon Oil Co., Ltd.	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
ADW		PIRKLE W.H. and RINALDI P.L., "Use of Liquid-Crystal-Induced Circular Dichroism for Determination of Absolute Configuration of Alcohols and Oxaziridines", J. Org. Chem., 1990, Vol. 45, pages 1379 to 1382	
ADW		KRASOVSKY A.L. et al., "Diels-Alder reactions of β -trifluoroacetylvinylsulfones", Tetrahedron, 01 January, 2001 (01.01.01), Vol. 57, No. 1, pages 201 to 209	
ADW		International Search Report for PCT/JP02/07112 dated October 29, 2002	
ADW		International Preliminary Examination Report to PCT/JP02/07112 dated September 5, 2003 (Japanese language)	no

Examiner Signature	<i>A. Wicke</i>	Date Considered	August 16, 2005
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